

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Steven T. Shaughnessy

Application No./Patent No.: 10/710,356

Filed/Issue Date: 07/02/2004

Entitled: Database System Providing High Performance Database Versioning

Borland Software Corporation

(Name of Assignee)

, a Corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
2. ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy therefore is attached.

OR  
B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at

Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at

Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at

Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

  
Signature

01/06/2009

Date

Scott S. Kokka

Printed or Typed Name

(650) 566-9921

Telephone Number

Attorney of Record, Reg. No. 51,893

Title

## ASSIGNMENT OF PATENT APPLICATION

WHEREAS, Steven T. Shaughnessy of Scotts Valley, CA, hereinafter referred to as "Assignor", is the inventor of certain new and useful improvements (the "invention") disclosed in the below identified application for United States Letters Patent:

Title of the Invention: **Database System Providing High Performance Database Versioning**

Filing Date: Serial No.:

Date of Execution of Application: **July 2, 2004**

WHEREAS, Borland Software Corporation, a Delaware corporation, located at 100 Enterprise Way, Scotts Valley, CA 95066, hereinafter referred to as "Assignee", is desirous of acquiring the entire right, title and interest in and to said invention and said application, and in any Letters Patent and Registrations which may be granted in the same;

NOW THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, Assignor has sold, assigned, transferred and set over, and does hereby sell, assign, transfer and set over to Assignee the entire right, title and interest in and to said invention and said application, and in and to any and all provisional applications, divisions, substitutions, continuations and continuations-in-part thereof, and all United States Letters Patents which may be granted thereon and all reissues and extensions thereof, and all priority rights under the Patent Cooperation Treaty and the International Convention for the Protection of Industrial Property for every respective member country, and all applications for patents, whether design or utility (including related rights such as utility-model registrations, inventor's certificates, and the like) heretofore, on date even herewith, or hereafter filed for said invention in any foreign countries, and all patents (including all extensions, renewals, and reissues thereof) granted for said invention or any part thereof in any foreign countries; and Assignor hereby authorizes and requests the United States Commissioner of Patents and Trademarks, and any officials of foreign countries whose duty it is to issue patents on applications as aforesaid, to issue all patents for said invention or any part thereof to Assignee in accordance with the terms of this assignment. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignor had this assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignor further agrees that Assignor will, without charge to Assignee but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer and (c) perform other such acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignor has signed his/her name on the date indicated.

Date: 07/02/2004

  
Steven T. Shaughnessy